10586543 - GAU: 2826

Approved for use through 07/31/2012.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10/586,543	
Confirmation Number	6159	
Filing Date	July 19, 2006	
First Named Inventor	Yasuhito URASHIMA	
Art Unit	2826	
Examiner Name	AHMED, SELIM U	
Attorney Docket Number	Q79654	

U.S. PATENTS							
Examiner Initials*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

U.S. PATENT APPLICATION PUBLICATIONS							
Examiner Initials* Cite No Publication Number Kind Code <sup>1</sup> Publication Date Name of Patentee or Applicant of cited Document Relevant Passages or Relevant Figures Appear							

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>

	NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*  Cite No  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.							
	1.	Takahiro ITO, et al.; "Influence of Thermal Annealing on GaN Buffer Layers and the Property of Subsequent GaN Layers Grown by Metalorganic Chemical Vapor Deposition"; Jpn. J. Appl. Phys., Part 1, Vol. 38, No. 2A; February 1999; pp. 649-653; XP-002616994					
	2.	Shigekazu SANO, et al.; "Low-dislocation-density GaN and Gl <sub>x</sub> Ga <sub>1-x</sub> N (x≤0.13) grown on grooved substrates; Journal of Crystal Growth; Vol. 234, No. 1-4; 1 February 2002; pp. 129-134; XP 004333449					
	3.	A. Kakanakova-Georgieva, et al.; "Epitaxial Growth of AlN Layers on SiC Substrates in a Hot-Wall MOCVD System"; phys. stat. sol. (c) Vol. 0; No. 1; 2002; pp. 205-208; XP-002616996					
	4.	J.A. POWELL, et al.; "Process-Induced Morphological Defects in Epitaxial CVD Silicon Carbide"; phys. stat. sol. (b); Vol. 202, No. 1; 1 July 1997; pp. 529-548; XP-002616995					

EXAMINER SIGNATURE					
Examiner Signature	/Selim Ahmed/	Date Considered	03/03/2011		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.

10586543 - GAU: 2826

Approved for use through 07/31/2012.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

	Approved for disc tillough offer theore.		
Application Number	10/586,543		
Confirmation Number	6159		
Filing Date	July 19, 2006		
First Named Inventor	Yasuhito URASHIMA		
Art Unit	2826		
Examiner Name	AHMED, SELIM U		
Attorney Docket Number	Q79654		
<del></del>			

## **CERTIFICATION STATEMENT**

Please see 3	7 CFR 1	97 and 1	98 to	make the	appropriate	selection(	s)
--------------	---------	----------	-------	----------	-------------	------------	----

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

fo □ c s	That no item of information contained in the information disclosure statement was cited in a communication from a preign patent office in a counterpart foreign application, and, to the knowledge of the person signing the vertification after making reasonable inquiry, no item of information contained in the information disclosure tatement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
----------------	---

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement. See 37 CFR 1.704(d).

- ☑ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.
- □ None

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	agh	Date (YYYY-MM-DD)	2011-02-28
Name/Print	Abraham J. Rosner	Registration Number	33,276

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.